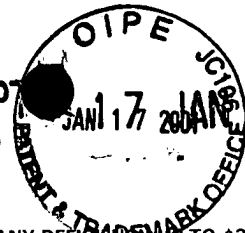


01-18-01

414 Rec'd PCT/P



PCT \$

CERTIFICATE OF MAILING

I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents & Trademarks, Washington, DC 20231, on

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

(Date of Deposit)

Date

Name

Docket No.: 1313/1E290-US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James WESTPHAL; John P. ERSPAMER; Shiu-Kang Laurence Li

Serial No.: 09/719,338 Art Unit: Unassigned

Filed: December 8, 2000 Examiner: Unassigned

For: UNITARY ABSORBENT STRUCTURE CONTAINING SUPERABSORBENT POLYMER

EXPRESS MAIL CERTIFICATE

Date 1/17/01 Label No. 6670671954 5US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Name (Print)

Signature

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

01/23/2001 UEDNVIJE 00000013 09719338

01 FC:154

130.00

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Check in the amount of \$ 170.00, (\$0 filing; \$40.00 recording; \$130.00 late)
(See attached **Fee Computation Sheet**)
3. [X] Assignment for recording to: BKI Holding Corporation
4. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

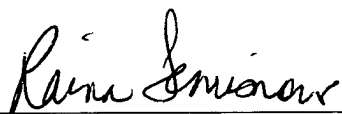
Country: U.S.
Number: 60/088,454
Date: 8 June 1998

Country: PCT
Number: PCT/US99/13174
Date: 8 June 1999

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: January 17, 2001


Raina Semionow
Reg. No. 39,022
Attorney for Applicant(s)

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022
212-527-7700

PATENT FEE COMPUTATION SHEET

| | No. of Claims Presented | Extra Claims Previously Paid For | Number of Extra Claims | Rate |
|--|----------------------------|--|---------------------------|----------|
| Basic Fee | | | | \$0.00 |
| Total Claims | 0 - 20 | - 0 = 0 | x \$18.00 | \$0.00 |
| Independent Claims | 0 - 3 | - 0 = 0 | x \$80.00 | \$0.00 |
| Multiple Dependent Claims | | - if so, add | \$270.00 | \$0.00 |
| Surcharge for late submission of filing fee and/or declaration (\$130.00) | | | | \$130.00 |
| SUBTOTAL | | | | \$130.00 |
| [] Small Entity REDUCTION (Half of Subtotal) | | | | \$0.00 |
| Fee for recordation of assignment (\$40.00) | | | | \$40.00 |
| Charge for filing non-English language application (\$130.00) | | | | \$0.00 |
| TOTAL | | | | \$170.00 |



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

| | | |
|--|--|---|
| U.S. APPLICATION NO. 09/719338 | FIRST NAMED APPLICANT WESTPHAL J | ATTY. DOCKET NO. 1313/1E290-US2 |
| DUE: February 2, 2001 | | |
| RAINA SEMIONOW DARBY & DARBY 805 THIRD AVENUE NEW YORK, NY 10022-7513 | Doctated on 1/16 by DP for Doctated without file <input type="checkbox"/> | INTERNATIONAL APPLICATION NO. PCT/US99/13174 |
| Attorney <u>RKS</u> | | I.A. FILING DATE 08 JUN 99 |
| | | PRIORITY DATE 08 JUN 98 |
| | | DATE MAILED: 1 09 JAN 2001 |

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
 - ☐ a non-English language.
 - ☒ English.
- ☐ Translation of the international application into English.
- ☒ Oath or Declaration of inventor(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s) filed _____ and _____.
- ☐ Information Disclosure Statement(s) filed _____ and _____.
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed _____.
- ☐ Verified Statement Claiming Small Entity Status.
- ☐ Priority Document.
- ☒ Copy of the International Search Report ☐ and copies of the references cited therein.
- ☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
 - ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(c)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

- ☒ PCT/DO/EO/917
- ☐ Notice of Defective Translation
- ☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

John L. Anderson

Telephone: 703 308-9116